

Course Type	Course Code	Name of the Course	L	T	P	Credits
DE	NMSD516	Merchant Banking and Financial Services	3	0	0	3

Course Objective

The objectives of this course are:

This course is designed to provide a broad overview of the merchant banking functions and different aspects of financial services globally and in India. It focuses on different aspects of financial services like leasing, hire purchase, factoring, mutual funds, venture capital, consumer finance, insurance and credit rating services along with merchant banking activities.

Learning Outcomes

1. To understand the basic evolution, functioning and regulations in Financial Services.
2. To develop thorough understanding about the various Financial Services operating in India.
3. To understand the concept, functioning and regulations in Merchant Banking sector in India.

Unit No.	Topics to be Covered	Lecture Hours	Learning Outcome
1	1. Merchant Banking Activities 2. Capital Issue Management: Pre and Post Issue Management 3. Case Studies in Merchant Banking	6	To understand the concept and theoretical framework of Merchant Banking Activities.
2	1. Financial Services Industry in India-An Overview 2. Role of SEBI and RBI in Financial Services Sector 3. Case Studies in regulations of Financial Services	6	To develop an insight into the regulations of Financial Services in India.
3	1. Leasing: Lease Evaluation and Accounting 2. Hire Purchase and its Evaluation 3. Factoring and Bill Discounting 4. Consumer Finance 5. Insurance 6. Mutual Funds 7. Venture Capital 8. Credit Rating 9. Non-Banking Finance Companies (NBFC) 10. Case Studies in Financial Services	30	To develop an understanding of the concept, accounting norms and innovations in different types of Financial Services.
	Total	42	

Text Books:

1. Management of Financial Services – C A Rama Gopal, Vikas Publishing
2. Financial Services – M Y Khan, Tata Mc Graw Hill Education

Reference Books:

1. Financial Services and Markets- P Pandian, Vikas Publishing
2. Indian Financial System – H R Machiraju, Vikas Publishing
3. Financial Services- The Institute of Chartered Financial Analysts of India
4. Case Studies and Reports in Merchant Banking- to be provided by the instructor